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## Implementation of the Recommendations from the 2<sup>nd</sup> IPHC Performance Review (PRIPHC02)

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### PURPOSE

To provide the Commission with an update on the implementation of the recommendations arising from the 2<sup>nd</sup> Performance Review of the IPHC (PRIPHC02).

### BACKGROUND

The Report of the 2<sup>nd</sup> Performance Review of the IPHC (PRIPHC02), IPHC-2019-PRIPHC02-R (adopted on 11 October 2019) is available for download from the IPHC website: <https://www.iphc.int/library/documents/post/iphc-2019-priphc02-r-report-of-the-2nd-performance-review-of-the-international-pacific-halibut-commission-priphc02>

At the 96<sup>th</sup> Session of the IPHC Annual Meeting (AM096), the Commission:

*(para. 137) “The Commission **NOTED** that the PRIPHC02 was carried out over the course of 2019 via three face-to-face meetings: one in Seattle, USA (4-6 June 2019), one in New York City, USA (25 August 2019) and one in Ottawa, Canada (7-11 October 2019). The Panel held several additional tele-conferences, both among themselves, and with stakeholders. The meeting was also supported by Independent Legal and Science Experts who each dedicated additional working days to providing technical reviews and reports on specific components of the review criteria relevant to their areas of expertise.”*

*(para 138) “The Commission **NOTED** para. 22 of the report which stated:*

*(para. 22) “The PRIPHC02 **CONGRATULATED** the Commission and Secretariat for the positive strides in response to the first performance review. Through the course of the consultations, document review and interviews, the panel saw consistent and significant improvements in transparency, availability and modernisation of documentation and background information, and heard resounding praise for this increased transparency and the movement away from previously “closed-door” and perceived “secretive” processes and decision-making.”*

*(para. 139) “The Commission **REQUESTED** that paper IPHC-2020-AM096-14 be reviewed intersessionally by each Contracting Party, with the intention of providing edits/additions, for endorsement. The IPHC Secretariat will facilitate this request by proposing intersessional meeting dates.”*

During the 6<sup>th</sup> Special Session of the IPHC (SS06) held on 3 March 2020, the Commission:

*(para. 6) “The Commission **ENDORSED** the recommendations, priorities, responsibilities, timelines and updates provided at [Appendix B](#), and **AGREED** that these would be reported on at each IPHC meeting.” (IPHC-2020-SS06-R)*

**RECOMMENDATION**

That the Commission **NOTE** paper IPHC-2020-IM096-13 that provides the Commission with an update on the implementation of the recommendations arising from the 2<sup>nd</sup> Performance Review of the IPHC (PRIPHC02).

**APPENDICES**

[Appendix A](#): Table of recommendations arising from the PRIPHC02, including 1) responsibilities, 2) timeline, 3) priorities; and 4) any initial comments of relevance.



**Appendix A**  
**RECOMMENDATIONS OF THE 2<sup>ND</sup> PERFORMANCE REVIEW OF THE INTERNATIONAL PACIFIC HALIBUT COMMISSION (PRIPHC02)**

REF#	RECOMMENDATION	PRIORITY	RESPONSIBILITY	TIMELINE	UPDATE/STATUS
PRIPHC02 –Rec.01 ( <a href="#">para. 32</a> )	<b>Legal analysis of the IPHC Convention</b> The PRIPHC02 <b>RECOMMENDED</b> that consideration be given to updating the Convention at the next opportunity, to become consistent with newer international legal instruments, and specifically consider including the following elements: a) – z)	N/A	N/A	N/A	<b>N/A:</b> At this time, the Contracting Parties do not wish to commence the process of updating the IPHC Convention.
PRIPHC02 –Rec.02 ( <a href="#">para. 33</a> )	The PRIPHC02 <b>RECOMMENDED</b> to update the Convention, while in the interim period seek alternate mechanisms to implement international best practices and* legal principles.  <u>Commission directive:</u> The Commission <b>RECOMMENDED</b> the exploration and implementation of alternate mechanisms to implement international best practices, such as revisions to the IPHC Rules of Procedure, IPHC Financial Regulations and IPHC Fishery Regulations.	N/A  High	N/A  Commission	N/A  2020-24	<b>N/A</b>  <b>In progress:</b> The IPHC Rules of Procedure and the IPHC Financial Regulations will be periodically updated (at least once every 2 years) and where possible, should accommodate applicable improvements as recommended in the legal review.  See paper <b>IPHC-2020-IM096-16</b> for the next iteration.
PRIPHC02 –Rec.03 ( <a href="#">para. 44</a> )	<b>Science: Status of living marine resources</b> The PRIPHC02 <b>RECOMMENDED</b> that opportunities to engage with western Pacific halibut science and management agencies be sought, to strengthen science links and data exchange. Specifically, consider options to investigate pan-Pacific stock structure and migration of Pacific halibut.	High	IPHC Secretariat	2020-24	<b>In progress:</b> There are three non-Contracting Parties who exploit Pacific halibut: Russia, Rep. of Korea and Japan. Most recently we have engaged Russian scientists working on Pacific halibut through PICES ( <a href="https://meetings.pices.int/">https://meetings.pices.int/</a> ).

REF#	RECOMMENDATION	PRIORITY	RESPONSIBILITY	TIMELINE	UPDATE/STATUS
PRIPHC02 –Rec.04 ( <a href="#">para. 45</a> )	The PRIPHC02 <b>RECOMMENDED</b> that: a) further efforts be made to lead and collaborate on research to assess the ecosystem impacts of Pacific halibut fisheries on incidentally caught species (retained and/or discarded); b) where feasible, this research be incorporated within the IPHC’s 5-Year Research Plan ( <a href="https://www.iphc.int/uploads/pdf/besrp/2019/iphc-2019-besrp-5yp.pdf">https://www.iphc.int/uploads/pdf/besrp/2019/iphc-2019-besrp-5yp.pdf</a> ); c) findings from the IPHC Secretariat research and that of the Contracting Parties be readily accessible via the IPHC website.	Medium	IPHC Secretariat	2020-24	<b>In progress:</b> The IPHC’s work in this area has been limited to date. However, some efforts to incorporate ecosystem considerations into the MSE work has commenced.
PRIPHC02 –Rec.05 ( <a href="#">para. 63</a> )	<b>Science: Quality and provision of scientific advice</b> The PRIPHC02 <b>RECOMMENDED</b> that simplified materials be developed for RAB and especially MSAB use, including training/induction materials.	High	IPHC Secretariat	2020-24	<b>In progress:</b> The IPHC Secretariat continues to seek ways to ensure broad stakeholder understanding of our work. For the MSAB and associated MSE work, a webpage is in development to provide a user friendly means to explore and understand the utility of MSE and the simulation results arising.  See paper <b>IPHC-2020-IM096-11</b> for the latest iteration.
PRIPHC02 –Rec.06 ( <a href="#">para. 64</a> )	The PRIPHC02 <b>RECOMMENDED</b> that consideration be given to amending the Rules of Procedure to include appropriate fixed terms of service to ensure SRB peer review remains independent and fresh; a fixed term of three years seems appropriate, with no more than one renewal.	Medium	Commission; IPHC Secretariat	2020	<b>Completed:</b> The IPHC Secretariat provided the Commission with revised Rules of Procedure for consideration at AM096, which included a two-term limit. This was adopted by the Commission and is now in force. See <a href="#">IPHC Rules of Procedure (2020)</a> .
PRIPHC02 –Rec.07 ( <a href="#">para. 65</a> )	The PRIPHC02 <b>RECOMMENDED</b> that the peer review process be strengthened through expanded subject specific independent reviews including data quality and standards, the FISS, MSE, and biological/ecological research; as well as conversion of “grey literature” to primary literature publications. The latter considered important to ongoing information outreach efforts given the cutting-edge nature of the Commission’s scientific work.	High	Commission; IPHC Secretariat	2020-24	<b>In progress:</b> The Commission has approved peer review of the IPHC stock assessment which was <b>concluded</b> in 2019, the IPHC MSE which was concluded on 25 September 2020. See <a href="#">IPHC-2020-CR-022</a> .  The Commission has indicated its strong support topic based peer review moving forward.

REF#	RECOMMENDATION	PRIORITY	RESPONSIBILITY	TIMELINE	UPDATE/STATUS
PRIPHC02 –Rec.08 ( <a href="#">para. 66</a> )	The PRIPHC02 <b>RECOMMENDED</b> that the IPHC Secretariat develop options for simple graphical summaries (i.e. phase plot equivalents) of fishing intensity and spawning stock biomass for provision to the Commission.	High	IPHC Secretariat	2020	<b>In progress:</b> The IPHC Secretariat has provided a number of examples of phase plots over the past years, with the most recent examples being presented at IM096. See paper <b>IPHC-2020-IM096-08</b> .
PRIPHC02 –Rec.09 ( <a href="#">para. 73</a> )	<b>Conservation and Management: Data collection and sharing</b> The PRIPHC02 <b>RECOMMENDED</b> that observer coverage be adjusted to be commensurate with the level of fishing intensity in each IPHC Regulatory Area.  <u>Commission directive:</u> The Commission <b>RECOMMENDED</b> that the IPHC Secretariat, in consultation with the Commission, develop minimum data collection standards for Pacific halibut by scientific observer programs. The intention would be for the Commission to review and approve the minimum standards, and recommend them for implementation by domestic agencies.	N/A  High	N/A  Contracting Parties	N/A  2020-24	<b>N/A</b>  <b>Pending:</b> Nil work in 2020 to-date.
PRIPHC02 –Rec.10 ( <a href="#">para. 82</a> )	<b>Conservation and Management: Consistency between scientific advice and fishery Regulations adopted</b> The PRIPHC02 <b>RECOMMENDED</b> that the development of MSE to underpin multi-year (strategic) decision-making be continued, and as multi-year decision making is implemented, current Secretariat capacity usage for annual stock assessments should be refocused on research to investigate MSE operating model development (including consideration of biological and fishery uncertainties) for future MSE iterations and regularised multi-year stock assessments.	High	IPHC Secretariat	2021-24	<b>In progress:</b> To be considered once the initial MSE products are delivered at AM097 in January 2021.
PRIPHC02 –Rec.11 ( <a href="#">para. 83</a> )	The PRIPHC02 <b>RECOMMENDED</b> that ongoing work on the MSE process be prioritised to ensure there is a management framework/procedure with minimal room for ambiguous interpretation, and robust pre-agreed mortality limit setting frameworks.	High	IPHC Secretariat	2020-21	<b>In progress:</b> See paper <b>IPHC-2020-IM096-11</b> .
PRIPHC02 –Rec.12 ( <a href="#">para. 88</a> )	<b>Fishing allocations and opportunities</b> The PRIPHC02 <b>STRONGLY URGED</b> the Commission to conclude its MSE process and <b>RECOMMENDED</b> it meet its 2021 deadline to adopt a harvest strategy.	High	IPHC Secretariat	2020-21	<b>In progress:</b> See paper <b>IPHC-2020-IM096-11</b> .

REF#	RECOMMENDATION	PRIORITY	RESPONSIBILITY	TIMELINE	UPDATE/STATUS
PRIPHC02 –Rec.13 ( <a href="#">para. 96</a> )	<b>Compliance and enforcement: Port State measures</b> The PRIPHC02 <b>RECOMMENDED</b> that Contracting Party enforcement agencies adopt common standards for assessment of implementation of the principles of port State measures.	Medium	Contracting Parties	2020-24	<b>Pending:</b> Potentially to be incorporated into the Contracting Party National Reports at each Annual Meeting. The Secretariat will work with each Contracting Party.
PRIPHC02 –Rec.14 ( <a href="#">para. 105</a> )	<b>Compliance and enforcement: Monitoring, control and surveillance (MCS)</b> The PRIPHC02 <b>RECOMMENDED</b> enhancement of coordination of MCS activities to result in a common, integrated enforcement report for each Contracting Party to facilitate assessment of compliance efforts, trends and input into management decisions.	Medium	Contracting Parties	2021-24	<b>Pending:</b> Potentially to be incorporated into the Contracting Party National Reports at each Annual Meeting.
PRIPHC02 –Rec.15 ( <a href="#">para. 106</a> )	The PRIPHC02 <b>RECOMMENDED</b> that the Commission re-assess the ‘derby-style’ fisheries management concept in operation in IPHC Regulatory Area 2A in terms of available resources, impact on validity of monitoring results, and safety of fishers, and amend the management processes, if and as necessary.	High	IPHC Secretariat; Commission	2020	<b>In progress:</b> The IPHC Secretariat is coordinating with relevant Contracting Party domestic agencies regarding shifting management of all Pacific halibut fisheries in IPHC Regulatory Area 2A from the IPHC to the relevant domestic agencies. At IM095, the Commission requested:  <i>IM095 (para. 89) The Commission <b>WELCOMED</b> the PFMC’s commitment to transition management of Pacific halibut fisheries in IPHC Regulatory Area 2A from the IPHC to domestic agencies and <b>REQUESTED</b> that the IPHC Secretariat continue to support this process in the short-term, with the aim of transitioning management of the fishery to the domestic agencies at the earliest opportunity.</i>  NOAA-Fisheries continues to deliberate this topic.
PRIPHC02 –Rec.16 ( <a href="#">para. 108</a> )	<b>Compliance and enforcement: Follow-up on infringements</b> The PRIPHC02 <b>RECOMMENDED</b> that the IPHC request information regarding Contracting Party follow-up of infringements, to assist in determining the overall efficacy of MCS and enforcement activities. This would support best practices with respect to transparency.	High	IPHC Secretariat; Commission	2020	<b>In progress:</b> The IPHC Secretariat has requested this information be provided by domestic agencies via the Contracting Party National Reports to the Commission.

REF#	RECOMMENDATION	PRIORITY	RESPONSIBILITY	TIMELINE	UPDATE/STATUS
PRIPHC02 –Rec.17 ( <a href="#">para. 109</a> )	The PRIPHC02 <b>RECOMMENDED</b> that the Commission improve the process of Contracting Party reporting to the Commission by aggregating individual agency reports into a consolidated, standardised, Contracting Party report to the Commission.	Medium	IPHC Secretariat; Contracting Parties	2020	<b>In progress:</b> The IPHC Secretariat has requested this information be provided by domestic agencies via a consolidated Contracting Party National Report to the Commission. This will likely take several years to become an efficient process of reporting.
PRIPHC02 –Rec.18 ( <a href="#">para. 124</a> )	<b>Governance: Decision-making</b> The PRIPHC02 <b>RECOMMENDED</b> that the IPHC Rules of Procedure be modified to include a clear category and recognition for observer organisations, which would be in addition to the general public.	Low	IPHC Secretariat	2020-21	<b>Completed:</b> IPHC Rules of Procedure (2020) published on 7 February 2020.
PRIPHC02 –Rec.19 ( <a href="#">para. 128</a> )	<b>Governance: Dispute settlement</b> The PRIPHC02 <b>RECOMMENDED</b> updating the rules of procedure to reflect intersessional decision making approaches.	Medium	IPHC Secretariat	2020-21	<b>Completed:</b> IPHC Rules of Procedure (2020) published on 7 February 2020.  Further amendments will be presented at IM096 and AM097 for adoption. See paper <b>IPHC-2020-IM096-16</b> .
PRIPHC02 –Rec.20 ( <a href="#">para. 137</a> )	<b>Governance: Transparency</b> The PRIPHC02 <b>RECOMMENDED</b> that the significant level of transparency achieved across Commission business continue to be improved.	High	Commission; IPHC Secretariat;	2020-24	<b>In progress:</b> Monitor progress through the IPHC meeting cycle.
PRIPHC02 –Rec.21 ( <a href="#">para. 146</a> )	<b>International cooperation: Relationship to non-Contracting Parties</b> The PRIPHC02 <b>RECOMMENDED</b> that the Commission prioritise scientific work to confirm the full range of the Pacific halibut stock.	High	IPHC Secretariat;	2020-24	<b>In progress:</b> There are three non-Contracting Parties who exploit Pacific halibut: Russia, Rep. of Korea and Japan. Most recently we have engaged Russian scientists working on Pacific halibut through PICES ( <a href="https://meetings.pices.int/">https://meetings.pices.int/</a> ).
PRIPHC02 –Rec.22 ( <a href="#">para. 147</a> )	The PRIPHC02 <b>RECOMMENDED</b> that if the full range of the Pacific halibut stock extends outside the Convention Area, the Contracting Parties invite collaboration with all parties involved in the harvest of this stock, to ensure science and management includes accurate data regarding all removals from the stock.	Low/ Medium	IPHC Secretariat	2020-24	<b>In progress:</b> The IPHC Secretariat is engaging with other countries harvesting Pacific halibut via PICES as a first step.

REF#	RECOMMENDATION	PRIORITY	RESPONSIBILITY	TIMELINE	UPDATE/STATUS
PRIPHC02 –Rec.23 ( <a href="#">para. 156</a> )	<b>Efficiency and transparency of financial and administrative management: Availability of resources for IPHC activities</b> The PRIPHC02 <b>RECOMMENDED</b> the continued establishment of a Business Continuity Plan (BCP), which will serve to strengthen the long-term viability of IPHC Secretariat functioning and accountability, in line with best practices of an organisation of its size and breadth. Prioritising a financial and administrative BCP, with the ultimate goal of establishing a comprehensive BCP for the IPHC Secretariat as a whole.	High	IPHC Secretariat; FAC	2020	<b>In progress:</b> The IPHC Secretariat has developed a BCP for the Finance and Administrative Services Branch (financial and administrative BCP) over the past months, and will move to consolidate with other Branches of the organization throughout 2020.
PRIPHC02 –Rec.24 ( <a href="#">para. 162</a> )	<b>Efficiency and transparency of financial and administrative management: Efficiency and cost-effectiveness</b> The PRIPHC02 <b>RECOMMENDED</b> the FAC produce a report detailing the actual FAC meeting and that the presentation of the report be incorporated into the Annual Meeting agenda and report, along with the final decisions of the Commission.	High	FAC; IPHC Secretariat	2020-24	<b>Completed:</b> The first report of the IPHC <a href="#">Finance and Administration Committee (FAC)</a> was adopted on 4 February 2020, and presented to the Commission at its 96 <sup>th</sup> Session for consideration.
PRIPHC02 –Rec.25 ( <a href="#">para. 165</a> )	<b>Efficiency and transparency of financial and administrative management: Advisory structure</b> The PRIPHC02 <b>RECOMMENDED</b> that when revisiting PRIPHC01 Recommendation 3.1 on unifying subsidiary bodies, treat the CB and PAB as non-science process and maintain separated RAB and MSAB at least until the 2021 adoption and implementation of a new management strategy.	N/A	Commission	N/A	<b>Completed:</b> The Commission agreed to keep the two subsidiary bodies separate moving forward.
PRIPHC02 –Rec.26 ( <a href="#">para. 166</a> )	The PRIPHC02 <b>RECOMMENDED</b> that continued support for high quality stakeholder engagement through the science-focused subsidiary bodies (RAB and MSAB) or any future subsidiary bodies be maintained.	High	Commission; IPHC Secretariat	2020-24	<b>Completed:</b> The Commission agreed to keep the two subsidiary bodies separate moving forward, and for them to be enhanced wherever feasible.